

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SUPPLEMENTAL FILING COMPLETION UNDER RULE 53(f)



(NOT PCT Applications)
For Design, Provisional, or Utility Applications

PATENT
APPLICATION

SUPPLEMENTAL COMPLETION Under Rule 53(f)

In re PATENT APPLICATION of
Inventor(s): YAMAGUCHI et al.

Attn: Application Division

Appln. No.: 09 853,028 Atty.Dkt. P 280085 ND-J048-US
Series Code ↑ Serial No. ↑ M# Client Ref

Filed: May 11, 2001

Title: METHOD OF MANUFACTURING CERAMIC HONEYCOMB STRUCTURE AND DEVICE FOR FORMING THROUGH HOLES

Hon. Commissioner of Patents
Washington, DC 20231

Date: August 2, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☐ Signed Declaration attached. ☐ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
 - a. ☐ Abstract
 - b. ☐ # pages of Specification (only spec. & claims)
 - c. ☐ Drawing(s) No of Sheets
 - ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) <u> </u>	<u> </u>	(2) <u> </u>	<u> </u>
(3) <u> </u>	<u> </u>	(4) <u> </u>	<u> </u>
(5) <u> </u>	<u> </u>	(6) <u> </u>	<u> </u>

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

Application No.	Filing Date	Application No.	Filing Date
(1) <u>2001-139177</u>	<u>May 9, 2001</u>	(2) <u> </u>	<u> </u>
(3) <u> </u>	<u> </u>	(4) <u> </u>	<u> </u>
(5) <u> </u>	<u> </u>	(6) <u> </u>	<u> </u>

Completion Under Rule 53(f)

9. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☒ Attached: Information Disclosure Statement, PTO 1449 form, (3) references cited therein
12. ☒ Communication

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
13. Basic Filing Fee (previously paid) Design Application				\$320/\$160		106/26
Not Design Application				\$710/\$355	+0	101/201
14. Total Effective Claims	41	minus 20 =	21	x \$18/\$9	+180	103/203
15. Independent Claims (previously paid)	5	minus 3 =	2	x \$80/\$40	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) (previously paid)				\$270/\$135	+0	104/204
17. Surcharge for filing Declaration/filing fee late (previously paid)				\$130/\$65	+0	105/205
18. FILING FEE ENCLOSED =					\$180	
19. Original due date: September 30, 2001						
20. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	+0 115/215
				(2mos)	\$390/\$195 =	116/216
				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee.				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. TOTAL FEE ENCLOSED =					\$180	

Our Deposit Account No. 03-3975

Our Order No. 11349

280085

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard

By Atty: Glenn J. PerryReg. No. 28458

McLean, VA 22102

Sig: 

Fax: (703) 905-2500

Tel: (703) 905-2000

Tel: (703) 905-2161

Atty/Sec: gjp/dlh

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Confirmation No.: 5054

YAMAGUCHI et al.

Group Art Unit: Unassigned

Appln. No.: 09/853,028

Examiner: Unassigned

Filed: May 11, 2001

Title: METHOD OF MANUFACTURING CERAMIC HONEYCOMB
STRUCTURE AND DEVICE FOR FORMING THROUGH HOLES

August 2, 2001

* * * * *

OFFICE COMMUNICATION

Box: MISSING PARTS
Hon. Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicant received a Notice to File Missing Parts dated July 30, 2001 from the U.S. Patent Office on July 31, 2001 showing a total fee due by Applicant of \$1648.

Applicant submitted a "Filing Completion Under Rule 53(f) on July 3, 2001, (copy enclosed) submitting the Declaration and paying a filing fee set out as follows:

Basic Filing Fee:	\$710
Excess Claim Fee:	\$198
Independent Claim Fee:	\$160
Declaration Surcharge:	\$130
Multiple Dependent Claim Surcharge	\$270
<u>Total Previously Paid</u>	<u>\$1468</u>

Applicant responds to the July 30, 2001 Notice by submitting herewith a Supplemental Filing Completion Under Rule 53(f) to pay the remaining portion of the excess claim fees due of \$180.

Respectfully submitted,

Pillsbury Winthrop LLP

By: 

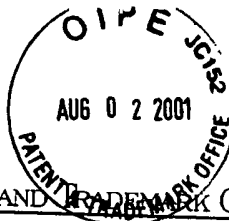
Glenn J. Perry

Reg. No.: 28458

Tel. No.: (703) 905-2161

Fax No.: (703) 905-2500

1600 Tysons Boulevard
McLean, VA 22102
(703) 905-2000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,028	05/11/2001	Satoru Yamaguchi	P 280085

CONFIRMATION NO. 5054

FORMALITIES LETTER



OC00000006357260

Pillsbury Winthrop LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue, NW.
Washington, DC 20005-3918

Date Mailed: 07/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$808.
 - \$378 for 21 total claims over 20.
 - \$160 for 2 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1648.**

10/05/2001 SSALEEKU 00000011 033975 09853028

01 FC:101	710.00 CH
02 FC:102	160.00 CH
03 FC:103	198.00 CH
04 FC:104	270.00 CH
05 FC:105	130.00 CH

A copy of this notice **MUST** be returned with the reply.

MTB
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/06/2001 MYUSUF1 00000083 09853028 180.00 OP
01 FC:103